

Use this form to add margin to a taxable account.

Scan & Email

service@freedomadvisors.com

Important Instructions:

1. Adding margin to an account does not mean that we will purchase securities using margin.
2. Individual, Joint, Trust and Corporate accounts are eligible for margin borrowing. If you have multiple accounts of the same type and registration, you are limited to adding margin to a single account for each registration.

PART 1: Account Information

Account Number		
Account Type		
Name	Account Owner/Trustee/Custodian	Additional Account Owner/Trustee/Custodian
Registration (Corporate and Trust Accounts Only)		

PART 2: Authorization

Enabling margin borrowing allows you to use an eligible investment account to borrow funds from us for trading or cash withdrawal, using eligible securities held by us as collateral. Margin borrowing is not appropriate for all investors. When considering margin, you should take into account portfolio composition, risk tolerance, as well as tax consequences, portfolio performance expectations, and investment timeline.

Margin Disclosure Statement (FINRA Rule 2264)

By signing below, I certify that I have received a copy of the Margin Disclosure Statement

By signing below, I certify that I have received a copy of the [Customer Agreement](#) and that the margin provisions, terms, conditions and disclosures in the Customer Agreement now apply to me. I also certify that neither Goldman Sachs Custody Solutions nor any of its representatives have recommended that I use margin.

For entity and trust accounts, by signing below, I certify that I am enabling margin borrowing on behalf of an entity or a trust and the entity's or trust's organizing documents directly, or indirectly, permit the use of margin. I further certify that I am an authorized agent of the entity or trust and my authorization permits me to open a margin account.

Account Owner/ Custodian/Trustee Name	X	Date (mm/dd/yyyy) / /
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PART 3: Margin Disclosure Statement

We are furnishing this document to you to provide some basic facts about purchasing securities on margin, and to alert you to the risks involved with trading securities in a margin account. Before trading stocks in a margin account, you should carefully review the margin agreement provided to you by us. If you have any questions or concerns about your margin accounts, please contact your advisor.

When you purchase securities, you may pay for the securities in full or you may borrow part of the purchase price from us. If you choose to borrow funds from us, you will open a margin account with us. The securities purchased are our collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan, and, as a result, we can take action, such as issue a margin call and/ or sell securities or other assets in any of your accounts held with us, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following:

- **You can lose more funds than you deposit in the margin account.** A decline in the value of securities that are purchased on margin may require you to provide additional funds to us to avoid the forced sale of those securities or other securities or assets in your account(s).
- **We can force the sale of securities or other assets in your account(s).** If the equity in your account falls below the maintenance margin requirements, or our higher "house" requirements, we can sell the securities or other assets in any of your accounts held with us to cover the margin deficiency. You also will be responsible for any short fall in the account after such a sale.
- **We can sell your securities or other assets without contacting you.** Some investors mistakenly believe that a brokerage firm must contact them for a margin call to be valid, and that the firm cannot liquidate securities or other assets in their accounts to meet the call unless the firm has contacted them first. This is not the case. Although we may attempt to notify you of a margin call, we are not required to do so. However, even if we have contacted you and provided a specific date by which you can meet a margin call, we can still take necessary steps to protect our financial interests, including immediately selling the securities without notice to you.
- **You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call.** Because the securities are collateral for the margin loan, we have the right to decide which security to sell in order to protect our interests.
- **We can increase our "house" maintenance margin requirements at any time and are not required to provide you advance written notice.** These changes in our policy often take effect immediately and may result in the issuance of a maintenance margin call. Your failure to satisfy the call may cause us to liquidate or sell securities in your account(s).
- **You are not entitled to an extension of time on a margin call.** While an extension of time to meet margin requirements may be available to you under certain conditions, you do not have a right to the extension.